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The Association between FAEE in Meconium and the Diagnosis of FASD in an at-risk Canadian Population

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This study aims to evaluate the ability of a prenatal alcohol exposure biomarker (meconium fatty acid ethyl esters) to predict a diagnosis of FASD

Effects of Prenatal Ethanol

- Effects on brain development (Jones and Smith, 1973; Clarren, 1986; Mattson and Riley, 1996; Sowell et al., 2001; Green et al., 2005; Riley and McGee, 2005)
 - overall reductions in brain size
 - abnormalities in brain shape
 - altered expression of gray and white matter
 - severe hypotrophy of the corpus callosum
 - hippocampus, cerebellum, brainstem, frontal lobe, temporal lobe, parietal lobe, and basal ganglia







- Widespread effects on brain signalling systems (Iqbal et al., 2005; Carneiro et al., 2005; Galindo et al., 2005)
 - Glucorticoid
 - Glutamatergic
 - dopaminergic,
 - Muscarinic
 - GABAergic signaling systems in FASD animal models

Effects of Prenatal Ethanol

Deficits (Riley and McGee, 2005)

- Acquisition of information/comprehension
- Visual attention/shifting attention
- Cognitive flexibility, response inhibition
- Planning, concept formation, reasoning





 "The true challenge with FASD is that while not all patients display the physical features of the disease, all show deficits in several areas of neurobehavioural functioning" (Mattson and Riley, 1998)

Benefits of Early Intervention

Intervention prior to age 6 is optimal

Significant attenuation of secondary disabilities

Secondary Disabilities (Streissguth et al. 1996, Olsen et al. 1997)

- □ "disrupted school experience" and "trouble with the law"
- □ institutionalization/incarceration
- □ unemployment/ dependent living
- □ inappropriate or promiscuous sexual behaviour
- mental health problems/substance addiction

Early intervention is rare

Methods of early screening are needed

Importance of Exposure History

(Chudley et al. 2005)

A. Presence of the 3 characteristic facial features (short palpebral fissures, smooth or flattened philtrum, thin vermilion border).

B. *Evidence of significant prenatal exposure to alcohol* at levels known to be associated with physical or developmental effects, or both.

C. Presence of 1 or more facial features with growth deficits *plus known or probable significant prenatal alcohol exposure.*

D. Presence of 1 or more facial features with 1 or more central nervous system deficits *plus known or probable significant prenatal alcohol exposure.*

E. Presence of 1 or more facial features with pre- or postnatal growth deficits or both (at the 10th percentile or below [1.5 SD below the mean]) and 1 or more central nervous system deficits *plus known or probable significant prenatal alcohol exposure.*

Early Intervention

Points of contact for intervention

- Birth/delivery
 - Evidence of prenatal alcohol exposure
- School
 - Behavioural issues
- Social Services
 - History of prenatal alcohol exposure
 - Behavioural issues
- Justice System
 - Behavioural issues

Determining Prenatal Alcohol Exposure

- Traditional maternal biomarkers of alcoholism ineffective in pregnancy
- Stigma of alcohol/drug abuse in pregnancy
- Marginalization & blame
- Shame, guilt, fear of punishment
- Lack of established trust with researcher/ physician

↓↓↓ sensitivity of self-reporting measures

- Stoler *et al.* Journal of Pediatrics 1998; 133: 346-352
- Russell *et al.* American Journal of Public Health 1996; 86: 1435-1439.
- Neumann T, Spies C. Addiction 2003; 98(2): 81-91.

Ethanol Metabolism & Elimination

Oxidative Metabolism

□ Urine/Breath/Sweat

Non-oxidative Metabolism

Detecting Alcohol Abuse

One standard drink (Canadian definition)
13.6 grams of ethanol
12 oz. beer (5%)
5 oz. wine (12-15%)
1.5 oz. liquor (40%)

Alcohol Elimination Rate: ~7 g per hour
 e.g. 5 drinks in 1 hour (i.e. binge episode)
 0 BAC within 10 hours
 0 UAC within 12 hours



Gareri J. Fetal ethanol exposure and meconium analysis of fatty acid ethyl esters in neonatal screening: The first Canadian population-based study. 2006. *University of Toronto. Ref type: Thesis/Dissertation*.



Koren et al. CMAJ 2003;169:1181-5.



Background: Meconium



Complex matrix

 Water, epithelial cells, lanugo, bile acids and salts, blood group substances, enzymes, mucopolysaccharides, lipids, proteins, trace metals, etc.

Timing of Formation

- ~12 weeks of gestation
- Coincident with initiation of fetal swallowing

Some advantages over blood and urine

- Discarded material
- Collection is easy and non-invasive
- Gareri J et al. Clinica Chimica Acta 2006; 366:101-111.
- Ostrea Jr EM et al. J Pediatr 1994;124:477–9.
- Kwong TC, Ryan RM. Clin Chem 1997;43:235–42.
- Browne SP *et al*. J Chromatogr 1992;575:158–61.
- Vaughan V, Litt I. Nelson textbook of pediatrics. 13th ed. Philadelphia' Saunders; 1987.

Background: FAEE meconium & alcohol exposure

Bearer *et al.* 1999, Klein *et al.* 1999

- Correlation with gestational ethanol consumption
- Ethyl linolate; ≥ 1 drink/week (N = 248)

Bearer *et al.* 2003

- Ethyl oleate; ≥ 1.5 oz. ethanol/drinking day (N = 27)
- Chan *et al.* 2003
 - Positive cut-off = 2.0 nmol/g cumulative [FAEE]
- Chan *et al.* 2004
 - FAEE do not cross placenta
- Brien *et al.* 2006
 - Negative correlation between meconium [FAEE] and fetal brain weight in guinea pigs

Background: FAEE meconium & outcomes

Derauf *et al.* 2003

■ Lower one-minute Apgar scores (p = 0.003); low birth weight (p = 0.006)

Noland *et al.* 2003

- Decreased score on executive functioning task
- Lower birth weight, length, head circumference

Peterson *et al.* 2005

Decreased psychomotor performance (age 2 years; P < 0.04)

Jacobson et al. 2006

- Correlation with FAS or pFAS diagnosis (age 5 years; p < 0.005)
- [ethyl oleate] > maternal self-report correlates to:
 - Recognition memory, Processing speed, Complexity of symbolic play

Peterson *et al.* 2008

■ Poor mental and psychomotor development (6.5 months – 2 years; p < 0.05)

STUDY RATIONALE

- early intervention is key to improving FASD outcomes
- early identification carries significant challenges regarding self-report reliability and routinely available analytical methods
- FAEE in meconium is a long-term biomarker of prenatal exposure history and potential FASD outcomes
- Is FAEE a reliable screening tool in predicting FASD diagnosis?





<u>Objective</u>

 To assess FAEE meconium-positive children for neurodevelopmental impairments associated with FASD

Hypotheses

 Meconium FAEE levels greater than or equal to 2 nmol cumulative FAEE per gram of meconium will significantly predict a diagnosis of FASD



Higher meconium FAEE levels will predict a greater severity of neurodevelopmental deficits





Study Design

Case-control cohort study using a prospectively analyzed meconium results database

Subjects

- Children tested for meconium FAEE based on suspicion of gestational alcohol exposure since 1997
- Positive meconium results for FAEE greater than or equal to 2 nmol/g
- Children \geq 3 years of age



Control Subjects

- Children tested for meconium FAEE based on suspicion of gestational alcohol exposure since 1997
- Negative meconium FAEE results (< 2 nmol/g)</p>

Matching Criteria

- Age, gender
- Other drug exposures evidenced through meconium analysis (e.g. cocaine, cannabis, etc.)
- Number of placements in foster homes (+/- 2).



Sample Size

- 462 eligible samples (Toronto area / FAEE-tested)
- 64 positive FAEE results ($\geq 2 \text{ nmol/g}$)
- Recruitment Estimate ~50%
- Predicted sample size N = 64
 - n = 32 case subjects
 - n = 32 matched controls

Measures

- FASD Diagnosis via Motherisk FASD Clinic, Hospital for Sick Children
- Physical assessment
- Standard battery of diagnostic tests adhering to the Canadian FASD Guidelines (Chudley et al., 2005).
- Post-assessment, clinic physicians and psychologists will apply the FASD diagnostic criteria and accept or reject a diagnosis of FASD pending exposure status.

Study Recruitment

PHASE I

The social worker or healthcare provider that requested the meconium test was sent a letter outlining the purpose of the study and <u>requesting</u> <u>permission to contact the guardian of the child</u>. The letter does not include the result of the meconium test for FAEE.

PHASE II

- If permission to contact the child's guardian is granted; contact information is obtained and a letter is forwarded requesting enrollment in the study.
- Letters are followed up by telephone calls
- Verbal consent to enroll in the study is followed by written informed consent to participate upon clinic attendance

Results: Recruitment Phase I

- N = 64 children identified with positive meconium FAEE findings born between January 1998 and June 2007
 - Phase I recruitment letters were sent out to social workers, physicians and hospitals requesting permission to contact the families of these children in January 2010

Preliminary Study Recruitment Data

- $\underline{\mathbf{n}} = \underline{\mathbf{1}}$ child deceased (accidental death at 1 y.o.)
- $\underline{\mathbf{n} = \mathbf{6}}$ received permission to contact families and were provided with some form of contact information
- $\underline{\mathbf{n}} = \underline{\mathbf{6}}$ received permission to contact families but were provided with no contact information / no contact information available. Communication with point of contact ongoing
- n = 3 point of contact has no information / record regarding child in question

Results: Recruitment Phase II

• $\mathbf{n} = \mathbf{2}$ children enrolled in study

- Guardians referred directly by initial contact person and provided consent
- Both children adopted
- Scheduled to attend Hospital for Sick Children for FASD assessment in October 2010

• $\mathbf{n} = \mathbf{6}$ guardians to receive recruitment letter

 Some form of potentially viable contact information for family has been obtained from primary contact

Future Directions: Recruitment Phase I

- n = 24 children with FAEE-positive meconium results identified are eligible for recruitment
 - Phase I recruitment letters to be issued January 2011
- n = 24 children with FAEE-positive meconium results identified will be eligible for recruitment in July 2011
 Phase I recruitment letters to be issued July 2011
- n = 30 children with FAEE-positive meconium results identified will be eligible for recruitment in January 2012
 Phase I recruitment letters to be issued January 2012



<u>Timeline</u>

- Continued recruitment of subjects: Fall 2010 through Spring 2012
- Recruitment of controls to begin after 1st subjects seen in clinic
- FASD assessments: 2010 2012
- Data interpretation / Manuscript preparation: Summer/Fall 2012



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THE END

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